

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
As on financial year ended on 31.03.2018
Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:		
1	CIN	L6923MH1992PLC064886
2	Registration Date	16/01/1992
3	Name of the Company	SHALIBHADRA FINANCE LTD
4	Category/Sub-category of the Company	PUBLIC LTD COMPANY LIMITED BY SHARES
5	Address of the Registered office & contact details	3, KAMAT INDUSTRIAL ESTATE, 396, SIDDI VINAYAK TEMPLE, NEXT TO CHINTAMANI JEWELLERS, PRABHARDEVI, MUMBAI - 400 025
6	Whether listed company	LISTED
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	LINK INTIME SPECTRUM REGISTRY PVT LTD C-13, PANNAVAL SILK MILLS, LBS MARG, NEAR SANGRILLA BISCUIT FACTORY, BHANDUP (WEST), MUMBAI - 400 078.

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY			
(All the business activities contributing 10% or more of the total turnover of the company shall be stated)			
S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Finance & Investment activities	6492	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES					
SN	Name and address of the Company	CIN/CLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
	NA	NA	NA	NA	NA

IV. SHARE HOLDING PATTERN									
(Equity share capital breakup as percentage of total equity)									
(i) Category-wise Share Holding									
Category of Shareholders	No. of Shares held at the beginning of the year [As on 31-March-2017]				No. of Shares held at the end of the year [As on 31-March-2018]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	

A. Promoters									
(1) Indian									
a) Individual/ HUF	2,003,681	-	2,003,681	40.05	2,003,681	-	2,003,681	40.05	0.00
b) Central Govt	-	-	-	0.00	-	-	-	0.00	0.00
c) State Govt(s)	-	-	-	0.00	-	-	-	0.00	0.00
d) Bodies Corp.	567,510	-	567,510	11.34	567,510	-	567,510	11.34	0.00
e) Banks / FI	-	-	-	0.00	-	-	-	0.00	0.00
f) Any other	-	-	-	0.00	-	-	-	0.00	0.00
Sub Total (A) (1)	2571191	0	2571191	51.39	2571191.00	-	2571191.00	51.39	0.00
(2) Foreign									
a) NRI Individuals	-	-	-	0.00	-	-	-	0.00	0.00
b) Other Individuals	-	-	-	0.00	-	-	-	0.00	0.00
c) Bodies Corp.	-	-	-	0.00	-	-	-	0.00	0.00
d) Any other	-	-	-	0.00	-	-	-	0.00	0.00
Sub Total (A) (2)	-	-	-	0.00	-	-	-	0.00	0.00
TOTAL (A)	2571191	0	2571191	51.39	2571191	0	2571191	51.39	0.00
B. Public									
1. Institutions									
a) Mutual Funds	-	-	-	0.00	-	-	-	0.00	0.00
b) Banks / FI	-	-	-	0.00	-	-	-	0.00	0.00
c) Central Govt	-	-	-	0.00	-	-	-	0.00	0.00
d) State Govt(s)	-	-	-	0.00	-	-	-	0.00	0.00
e) Venture Capital Funds	-	-	-	0.00	-	-	-	0.00	0.00
f) Insurance Companies	-	-	-	0.00	-	-	-	0.00	0.00
g) FIs	-	-	-	0.00	-	-	-	0.00	0.00
h) Foreign Venture Capital Funds	-	-	-	0.00	-	-	-	0.00	0.00
i) Others (specify)	-	-	-	0.00	-	-	-	0.00	0.00
Sub-total (B)(1)-	-	-	-	0.00	-	-	-	0.00	0.00
2. Non-Institutions									
a) Bodies Corp.	935,704	369,000	1,294,704	26	322,649	369,000	691,649	13.62	-12.25
i) Indian	-	-	-	-	-	-	-	0.00	0.00
ii) Overseas	-	-	-	-	-	-	-	0.00	0.00
b) Individuals					121,158		121,158		
i) Individual shareholders holding nominal share capital upto Rs. 2 lakh	344,023	15,300	359,323	7	587,523	251,100	838,623	16.76	9.58
ii) Individual shareholders holding nominal share capital in excess of Rs 2 lakh	519,782	258,100	777,882	16	695,935	-	695,935	13.91	-1.64
c) Others (specify)					8500		8,500		
Non Resident Indians	-	-	-	0.00	6700	4807	11,607	0.00	0.00
Overseas Corporate Bodies	-	-	-	0.00	-	-	-	0.00	0.00
Foreign Nationals	-	-	-	0.00	58867		58,867	0.01	0.01
Clearing Members	-	-	-	0.00	15570		15,570	0.00	0.00
Trusts	-	-	-	0.00	-	-	-	0.00	0.00
Foreign Bodies - DR	-	-	-	0.00	-	-	-	0.00	0.00
Sub-total (B)(2)-	1796609	632400	2431909	48.61	1816902	615007	2431909	44.31	-4.29
Total Public (B)	1796609	632400	2431909	48.61	1816902	615007	2431909	44.31	-4.29
C. Shares held by Custodian for GDRs & ADRs									
Grand Total (A+B+C)	4,370,700	632,400	5,003,100	100.00	4,388,093	615,007	5,003,100	95.71	-4.29

(ii) Shareholding of Promoter

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	
1	Mukund H Doshi	151,300	3.02	-				-3.02
2	Kala Doshi & Alak Enterprises (Prop. Kala Doshi)	113,500	2.27	-				-2.27
3	Sheetal M Doshi	992,172	19.83	-	992,172	19.83	-	0.00
4	Harna A Doshi	40,319	0.81	-	40,319	0.81	-	0.00
5	Amit M Doshi HUF	42,500	0.85	-	42,500	0.85	-	0.00
6	Amit M Doshi	31,190	0.62	-	239,240	4.78	-	4.16
7	Mnesh M Doshi	547,300	10.94	-	604,050	12.07	-	1.13
8	Mukund H Doshi HUF	35,000	0.70	-	35,000	0.70	-	0.00
9	Ayushi M Doshi	6,900	0.14	-	6,900	0.14	-	0.00
10	Mnesh M Doshi (Guardian of Vatsal Mnesh Doshi)	25,000	0.50	-	25,000	0.50	-	0.00
11	Nishita A Doshi	18,500	0.37	-	18,500	0.37	-	0.00
12	Ranikshna Cimraters Pvt Ltd	245,300	4.90	-	245,300	4.90	-	0.00
13	Financial Analysts Investment Rating Ltd	187,200	3.74	-	187,200	3.74	-	0.00
14	Vatsal Financial Services Pvt Ltd	135,000	2.70	-	135,000	2.70	-	0.00
15	Shalibhadra Capital Market Limited	10	0.00	-	10	0.00	-	0.00

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

SN	Particulars	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	NIL	Nil	NIL	-	0.00	-	0.00
2	NIL	Nil	NIL	-	0.00	-	0.00
3	NIL	Nil	NIL	-	0.00	-	0.00

(iv) Shareholding Pattern of top ten Shareholders*(Other than Directors, Promoters and Holders of GDRs and ADRs):*

SN	Name of the shareholders	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Sharda Gupta	01/04/2017	At the beginning of the year	84,082	1.68	84,082	1.68
			Transfer			(22,700)	-0.45
		31/03/2018	At the end of the year			61,382	1.23
2	Anurag Gupta HUF	01/04/2017	At the beginning of the year	80,885	1.62	80,885	1.62
			Transfer			(9,679)	-0.19
		31/03/2018	At the end of the year			71,206	1.42
3	Vijaya Patbathi	01/04/2017	At the beginning of the year	74,867	1.50	74,867	1.50
			Transfer			-	0.00
		31/03/2018	At the end of the year			74,867	1.50
4	Amichara Agencies Pvt Ltd	01/04/2017	At the beginning of the year	249,200	4.98	249,200	4.98
		31/03/2018	At the end of the year			249,200	4.98
5	Arnul Credit Corporation Ltd	01/04/2017	At the beginning of the year	101,900	2.04	101,900	2.04
		31/03/2018	At the end of the year			101,900	2.04
6	Proxel Management Agencies Private Limited	01/04/2017	At the beginning of the year	148,625	2.97	148,625	2.97
		31/03/2018	At the end of the year			148,625	2.97
7	Hemant Pratapbhai Kotak	01/04/2017	At the beginning of the year	137,000	2.74	137,000	2.74
			Transfer			-	0.00
		31/03/2018	At the end of the year			137,000	2.74
8	Vikram Pratapbhai Kotak	01/04/2017	At the beginning of the year	163,800	3.27	163,800	3.27
			Transfer			-	0.00
		31/03/2018	At the end of the year			163,800	3.27
9	Princy Dayakrishna Goyal	01/04/2017	At the beginning of the year	51,667	1.03	51,667	1.03
			Transfer			-	0.00
		31/03/2018	At the end of the year			51,667	1.03
10	Yash Management And Satellite	01/04/2017	At the beginning of the year	65,513	1.31	65,513	1.31
			Transfer			-	0.00
		31/03/2018	At the end of the year			65,513	1.31
11	Amit Jassani Financial Services Pvt Ltd	01/04/2017	At the beginning of the year	69,138	1.38	69,138	1.38
			Transfer			-	0.00
		31/03/2018	At the end of the year			69,138	1.38
12	Signora Finance private Limited	01/04/2017	At the beginning of the year	125,371	2.51	125,371	2.51
			Transfer			125,371	2.51
		31/03/2018	At the end of the year			-	0.00
13	FINEOTEX CHEMICAL LIMITED	01/04/2017	At the beginning of the year	82,000	1.64	82,000	1.64
			Transfer			82,000	1.64
		31/03/2018	At the end of the year			-	0.00
14	TENT BIO - PHARMA PVT. LTD.	01/04/2017	At the beginning of the year	62,300	1.25	62,300	1.25
			Transfer	-	-	62,300	1.25
		31/03/2018	At the end of the year	-	-	-	0.00
15	Jainam Share Consultant Pvt. Ltd.	01/04/2017	At the beginning of the year	55,187	1.10	55,187	1.10
			Transfer	-	-	55,187	1.10
		31/03/2018	At the end of the year	-	-	-	0.00

(v) Shareholding of Directors and Key Managerial Personnel:

SN	Shareholding of each Directors and each Key Managerial Personnel	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
Directors							
1	Mukund H Doshi			151,300	3.02	-	-
2	Mnesh M Doshi			547,300	10.94	604,050	12.07
Key Managerial Personnel							
				698,600	13.96	604,050	12.07

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Amt. Rs./Lacs)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	242,656,578	185,363,240	Nil	428,019,818
ii) Interest due but not paid	Nil	Nil	Nil	-
iii) Interest accrued but not due	Nil	Nil	Nil	-
Total (i+ii+iii)	242,656,578	185,363,240	-	428,019,818
Change in Indebtedness during the financial year				
* Addition	107,938,215	58,996,440	Nil	166,934,655
* Reduction	Nil	Nil	Nil	-
Net Change	107,938,215	58,996,440	-	166,934,655
Indebtedness at the end of the financial year				
i) Principal Amount	350,594,793	244,359,680	Nil	594,954,473
ii) Interest due but not paid	Nil	Nil	Nil	-
iii) Interest accrued but not due	Nil	Nil	Nil	-
Total (i+ii+iii)	350,594,793	244,359,680	-	594,954,473

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sl.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount (Rs/Lac)
		Name	Designation	
		Minsch M Doshi	Managing Director	Nil
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961		-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961		-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961		-	-
2	Stock Option		-	-
3	Sweat Equity		-	-
4	Commission		-	-
	- as % of profit		-	-
	- others, specify		-	-
5	Others, please specify		-	-
		Total (A)	-	-
		Ceiling as per the Act	-	-

B. Remuneration to other Directors

SN	Particulars of Remuneration	Name of Directors			Total Amount
					(Rs/Lac)
1	Independent Directors				
	Fee for attending board committee meetings				-
	Commission				-
	Others, please specify				-
	Total (1)	-	-	-	-
2	Other Non-Executive Directors	NONE			
	Fee for attending board committee meetings				-
	Commission				-
	Others, please specify				-
	Total (2)	-	-	-	-
	Total (B)=(1+2)	-	-	-	-
	Total Managerial Remuneration				-
	Overall Ceiling as per the Act				-

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

SN	Particulars of Remuneration	Name of Key Managerial Personnel			Total Amount
					(Rs/Lac)
		Name Designation	Palak Patani CEO	CFO	M.COM, MBA
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961		605,200		605,200
	(b) Value of perquisites u/s 17(2) Income-tax Act,		-		-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961		-		-
2	Stock Option		-		-
3	Sweat Equity		-		-
4	Commission - as % of profit - others, specify		-		-
5	Others, please specify		-		-
	Total	-	605,200	-	605,200

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty					
Punishment					
Compounding					
B. DIRECTORS					
Penalty			NONE		
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment					
Compounding					