

**FORM NO. MGT 9**  
**EXTRACT OF ANNUAL RETURN**  
As on financial year ended on 31.03.2019  
Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:		
1	CIN	L6923MH1992PLC064886
2	Registration Date	16/01/1992
3	Name of the Company	SHALIBHADRA FINANCE LTD
4	Category/Sub-category of the Company	PUBLIC LTD COMPANY LIMITED BY SHARES
5	Address of the Registered office & contact details	3, KAMAT INDUSTRIAL ESTATE, 396, SIDDI VINAYAK TEMPLE, NEXT TO CHINTAMANI JEWELLERS, PRABHARDEVI, MUMBAI - 400 025
6	Whether listed company	LISTED
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	LINK INTIME SPECTRUM REGISTRY PVT LTD C-13, PANNAVAL SILK MILLS, LBS MARG, NEAR SANGRILLA BISCUIT FACTORY, BHANDUP (WEST), MUMBAI - 400 078.

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY			
(All the business activities contributing 10% or more of the total turnover of the company shall be stated)			
S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Finance & Investment activities	6492	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES					
SN	Name and address of the Company	CIN/CLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
	NA	NA	NA	NA	NA

**IV. SHARE HOLDING PATTERN**  
(Equity share capital breakup as percentage of total equity)

(i) Category-wise Share Holding									
Category of Shareholders	No. of Shares held at the beginning of the year [As on 31-March-2018]				No. of Shares held at the end of the year [As on 31-March-2019]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoters</b>									
<b>(1) Indian</b>									
a) Individual/ HUF	2,003,681	-	2,003,681	40.05	2,003,681	-	2,003,681	40.05	0.00
b) Central Govt	-	-	-	0.00	-	-	-	0.00	0.00
c) State Govt(s)	-	-	-	0.00	-	-	-	0.00	0.00
d) Bodies Corp.	567,510	-	567,510	11.34	567,510	-	567,510	11.34	0.00
e) Banks / FI	-	-	-	0.00	-	-	-	0.00	0.00
f) Any other	-	-	-	0.00	-	-	-	0.00	0.00
<b>Sub Total (A) (1)</b>	<b>2571191</b>	<b>0</b>	<b>2571191</b>	<b>51.39</b>	<b>2571191.00</b>	<b>-</b>	<b>2571191.00</b>	<b>51.39</b>	<b>0.00</b>
<b>(2) Foreign</b>									
a) NRI Individuals	-	-	-	0.00	-	-	-	0.00	0.00
b) Other Individuals	-	-	-	0.00	-	-	-	0.00	0.00
c) Bodies Corp.	-	-	-	0.00	-	-	-	0.00	0.00
d) Any other	-	-	-	0.00	-	-	-	0.00	0.00
<b>Sub Total (A) (2)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.00</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.00</b>	<b>0.00</b>
<b>TOTAL (A)</b>	<b>2571191</b>	<b>0</b>	<b>2571191</b>	<b>51.39</b>	<b>2571191</b>	<b>0</b>	<b>2571191</b>	<b>51.39</b>	<b>0.00</b>
<b>B. Public</b>									
<b>1. Institutions</b>									
a) Mutual Funds	-	-	-	0.00	-	-	-	0.00	0.00
b) Banks / FI	-	-	-	0.00	-	-	-	0.00	0.00
c) Central Govt	-	-	-	0.00	-	-	-	0.00	0.00
d) State Govt(s)	-	-	-	0.00	-	-	-	0.00	0.00
e) Venture Capital Funds	-	-	-	0.00	-	-	-	0.00	0.00
f) Insurance Companies	-	-	-	0.00	-	-	-	0.00	0.00
g) FIs	-	-	-	0.00	-	-	-	0.00	0.00
h) Foreign Venture Capital Funds	-	-	-	0.00	-	-	-	0.00	0.00
i) Others (specify)	-	-	-	0.00	-	-	-	0.00	0.00
<b>Sub-total (B)(1)-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.00</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.00</b>	<b>0.00</b>
<b>2. Non-Institutions</b>									
a) Bodies Corp.	322,649	369,000	691,649	13.62	642,983	102,300	745,283	14.90	1.27
i) Indian	-	-	-	0.00	-	-	-	0.00	0.00
ii) Overseas	-	-	-	0.00	-	-	-	0.00	0.00
b) Individuals	121,158	-	121,158	2.42	71,380	-	71,380	1.42	-0.00
i) Individual shareholders holding nominal share capital upto Rs. 2 lakh	587,523	251,100	838,623	16.76	627,874	237,100	864,974	17.29	0.53
ii) Individual shareholders holding nominal share capital in excess of Rs 2 lakh	695,935	-	695,935	13.91	583,001	-	583,001	11.65	-2.26
c) Others (specify)	8500	-	8,500	0.17	8400	-	8,400	0.17	-0.01
Non Resident Indians	6700	4907	11,607	0.23	147038	4103	151,141	3.02	0.03
Overseas Corporate Bodies	-	-	-	0.00	-	-	-	0.00	0.00
Foreign Nationals	58857	-	58,857	1.18	0	0	-	0.00	-0.01
Clearing Members	15570	-	15,570	0.31	7730	0	7,730	0.15	-0.50
Trusts	-	-	-	0.00	-	-	-	0.00	0.00
Foreign Bodies - DR	-	-	-	0.00	-	-	-	0.00	0.00
<b>Sub-total (B)(2)-</b>	<b>1816902</b>	<b>615007</b>	<b>2431909</b>	<b>48.61</b>	<b>2088406</b>	<b>343603</b>	<b>2431909</b>	<b>48.87</b>	<b>-0.44</b>
<b>Total Public (B)</b>	<b>1816902</b>	<b>615007</b>	<b>2431909</b>	<b>48.61</b>	<b>2088406</b>	<b>343603</b>	<b>2431909</b>	<b>48.87</b>	<b>-0.44</b>
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>									
<b>Grand Total (A+B+C)</b>	<b>4,388,093</b>	<b>615,007</b>	<b>5,003,100</b>	<b>95.71</b>	<b>4,659,597</b>	<b>343,503</b>	<b>5,003,100</b>	<b>95.26</b>	<b>-0.44</b>

**(ii) Shareholding of Promoter**

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	
1	Mukund H Doshi	-	0.00	-	-	-	0.00	
2	Kala Doshi & Alak Enterprises (Prop. Kala Doshi)	-	0.00	-	-	-	0.00	
3	Sheetal M Doshi	992,172	19.83	-	792,172	15.83	680,000	-4.00
4	Hena A Doshi	40,319	0.81	-	40,319	0.81	-	-0.00
5	Amit M Doshi HUF	42,500	0.85	-	61,000	1.22	-	0.37
6	Amit M Doshi	239,240	4.78	-	170,590	3.41	-	-1.37
7	Mnesh M Doshi	604,050	12.07	-	672,710	13.45	-	1.37
8	Mukund H Doshi HUF	35,000	0.70	-	35,000	0.70	-	0.00
9	Mnesh M Doshi HUF	-	0.00	-	31,900	0.64	-	0.64
10	Vatsal M Doshi	-	0.00	-	200,000	4.00	-	4.00
11	Ayushi M Doshi	6,900	0.14	-	-	0.00	-	-0.14
12	Mnesh M Doshi (Guardian of Vatsal Mnesh Doshi)	25,000	0.50	-	-	0.00	-	-0.50
13	Nashita A Doshi	18,500	0.37	-	-	0.00	-	-0.37
14	Ramkishna Climeisers Pvt Ltd	245,300	4.90	-	245,300	4.90	-	0.00
15	Financial Analysts Investment Rating Ltd	187,200	3.74	-	187,200	3.74	-	0.00
16	Vatsal Financial Services Pvt Ltd	135,000	2.70	-	135,000	2.70	-	0.00
17	Shalibhadra Capital Market Limited	10	0.00	-	10	0.00	-	0.00

**(iii) Change in Promoters' Shareholding (please specify, if there is no change)**

SN	Particulars	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	NIL	Nil	NIL	-	0.00	-	0.00
2	NIL	Nil	NIL	-	0.00	-	0.00
3	NIL	Nil	NIL	-	0.00	-	0.00

**(iv) Shareholding Pattern of top ten Shareholders***(Other than Directors, Promoters and Holders of GDRs and ADRs):*

SN	Name of the shareholders	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Sharda Gupta	01/04/2018	At the beginning of the year	61,382	1.23	61,382	1.23
			Transfer			(61,382)	-1.23
		31/03/2019	At the end of the year			-	0.00
2	Anurag Gupta HUF	01/04/2018	At the beginning of the year	71,206	1.42	71,206	1.42
			Transfer			(71,206)	-1.42
		31/03/2019	At the end of the year			-	0.00
3	Vijaya Pabhothi	01/04/2018	At the beginning of the year	74,867	1.50	74,867	1.50
			Transfer			-	0.00
		31/03/2019	At the end of the year			74,867	1.50
4	Amichera Agencies Pvt Ltd	01/04/2018	At the beginning of the year	249,200	4.98	249,200	4.98
		31/03/2019	At the end of the year			249,200	4.98
5	Amrut Credit Corporation Ltd	01/04/2018	At the beginning of the year	101,900	2.04	101,900	2.04
		31/03/2019	At the end of the year			101,900	2.04
6	Procell Management Agencies Private Limited	01/04/2018	At the beginning of the year	148,625	2.97	148,625	2.97
			Transfer			7,775	0.16
		31/03/2019	At the end of the year			156,400	3.13
7	Hemant Pratapbhai Kotak	01/04/2018	At the beginning of the year	137,000	2.74	137,000	2.74
			Transfer			9,000	0.18
		31/03/2019	At the end of the year			128,000	2.56
8	Vikram Pratapbhai Kotak	01/04/2018	At the beginning of the year	163,800	3.27	163,800	3.27
			Transfer			(9,200)	-0.18
		31/03/2019	At the end of the year			173,000	3.46
9	Princy Dayakrishna Goyal	01/04/2018	At the beginning of the year	51,667	1.03	51,667	1.03
			Transfer			-	0.00
		31/03/2019	At the end of the year			51,667	1.03
10	Yash Management And Satellite	01/04/2018	At the beginning of the year	65,513	1.31	65,513	1.31
			Transfer			65,513	1.31
		31/03/2019	At the end of the year			-	0.00
11	Amit Jassani Financial Services Pvt Ltd	01/04/2018	At the beginning of the year	69,138	1.38	69,138	1.38
			Transfer			69,138	1.38
		31/03/2019	At the end of the year			-	0.00
15	Advani Pvt Ltd	01/04/2018	At the beginning of the year	161,062	3.22	161,062	3.22
			Transfer	-	-	-	0.00
		31/03/2019	At the end of the year	-	-	161,062	3.22

**(v) Shareholding of Directors and Key Managerial Personnel:**

SN	Shareholding of each Directors and each Key Managerial Personnel	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
<b>Directors</b>							
1	Mukund H Doshi			-	0.00	-	-
2	Mnesh M Doshi			604,050	12.07	672,710	13.45
<b>Key Managerial Personnel</b>							
				604,050	12.07	672,710	13.45

**V. INDEBTEDNESS**

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Amt. Rs./Lacs)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	350,594,793	244,359,680	Nil	594,954,473
ii) Interest due but not paid	Nil	Nil	Nil	-
iii) Interest accrued but not due	Nil	Nil	Nil	-
<b>Total (i+ii+iii)</b>	<b>350,594,793</b>	<b>244,359,680</b>	<b>-</b>	<b>594,954,473</b>
<b>Change in Indebtedness during the financial year</b>				
* Addition	122,622,090	Nil	Nil	122,622,090
* Reduction	Nil	3,040,255	Nil	3,040,255
<b>Net Change</b>	<b>122,622,090</b>	<b>3,040,255</b>	<b>-</b>	<b>125,662,345</b>
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	473,216,883	241,319,425	Nil	714,536,308
ii) Interest due but not paid	Nil	Nil	Nil	-
iii) Interest accrued but not due	Nil	Nil	Nil	-
<b>Total (i+ii+iii)</b>	<b>473,216,883</b>	<b>241,319,425</b>	<b>-</b>	<b>714,536,308</b>

**VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sl.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount (Rs/Lac)
		Name	Designation	
		Minsah M Doshi	Managing Director	Nil
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission			
	- as % of profit	-	-	-
	- others, specify	-	-	-
5	Others, please specify	-	-	-
	<b>Total (A)</b>	-	-	-
	Ceiling as per the Act	-	-	-

**B. Remuneration to other Directors**

SN.	Particulars of Remuneration	Name of Directors			Total Amount
					(Rs/Lac)
1	Independent Directors				
	Fee for attending board committee meetings				-
	Commission				-
	Others, please specify				-
	Total (1)	-	-	-	-
2	Other Non-Executive Directors		NONE		-
	Fee for attending board committee meetings				-
	Commission				-
	Others, please specify				-
	Total (2)	-	-	-	-
	Total (B)=(1+2)	-	-	-	-
	Total Managerial Remuneration				-
	Overall Ceiling as per the Act				-

**C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD**

SN.	Particulars of Remuneration	Name of Key Managerial Personnel			Total Amount
					(Rs/Lac)
		Name Designation	Palak Patani CFO	M.COM, MBA	
1	Gross salary	CEO			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961		780,000		780,000
	(b) Value of perquisites u/s 17(2) Income-tax Act,		-		-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961		-		-
2	Stock Option		-		-
3	Sweat Equity		-		-
4	Commission - as % of profit - others, specify		-		-
5	Others, please specify		-		-
	Total	-	780,000	-	780,000

**VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:**

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
<b>A. COMPANY</b>					
Penalty					
Punishment					
Compounding					
<b>B. DIRECTORS</b>					
Penalty			NONE		
Punishment					
Compounding					
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty					
Punishment					
Compounding					